



11525 Park Woods Circle | Alpharetta, GA 30005 | 678-356-1100

<b>RBC</b>		
<b>IRA &amp; Custodial Account Fee Schedule</b>		
<b>Annual Fees</b>	<b>IRA Annual Account Fee</b>	\$35
	<b>Annual Account Fee</b> Refer to InfoWorks for Guidelines	\$35
<b>Trade Fees Fees</b>	<b>Equity Securities/Exchange-Traded Funds</b>	\$24.95 + \$0.01 per Share
	<b>Mutual Funds</b>	\$12.50 Processing Fee
	<b>PIPS/SWIPS</b>	\$2.50 per Occurrence
	<b>Options</b>	\$24.95 Execution Fee + \$2.50 per contract
	<b>Bonds</b>	\$30.00 + 1.25/Bond
	<b>Trade Corrections</b>	\$50
	<b>Trade Extensions</b>	\$10
<b>Alternative Investment Fees</b>	<b>Alternative Investment Transaction Fee</b>	\$50 per Occurrence
	<b>Alternative Investment Annual Custody Fee</b>	\$50 per Position
	<b>Alternative Investment Transfer Out Fee</b>	\$50 per Occurrence for Alternatives transferring out
	<b>Alternative Investment Transfer In Fee</b>	Fee Waived
	<b>Transfer Agent Charges</b>	As incurred by clearing firm from the transfer agent, passed through to the account. **Please contact Kalos Operations on typical transfer agents that do charge re-registration fees**
<b>Transfer/Delivery Fees</b>	<b>Outgoing IRA Transfer</b> (Full Transfer with Account Closeout)	\$120
	<b>Outgoing Non-IRA ACAT</b> (Transfer Fee)	\$75 (Account Closeout)
	<b>Security Transfer Fee - International</b>	\$140
	<b>Depository Trust Company Delivery Fee</b>	\$25 per Security / Maximum of \$200
	<b>Direct Registration System (DRS) Transfer</b>	\$25 each (Incoming and Outgoing)
	<b>Deposit/Withdrawal at Custodian (DWAC)</b>	Ranges from \$25 to \$150 *DWAC is determined by the transfer agent, but typically ranges from \$25-\$150
	<b>American Depository Receipt (ADR)</b>	Pass Through to client *ADR Pass Through Fee are outlined in the ADR Prospectus
<b>Special Services Fees</b>	<b>Bank Wire Domestic / International</b>	\$20 each (Domestic) / \$75 each (International)
	<b>Non-Sufficient Funds/Returned Check Fee</b>	\$30 each
	<b>Stop Payment Fee</b> (ACH)	\$20 each
	<b>Overnight Check</b>	\$20 each
	<b>Duplicate Tax Documents</b> (Paper copies)	\$1.25
	<b>Duplicate Confirms</b> (Paper copies)	\$1.25
	<b>Duplicate Statement</b>	\$1.25
	<b>Prepayment Fee</b>	Interest Charged *The calculation to determine the interest is: \$25.00 + Actual Prepay Amount x Interest Rate x (Days to Settlement/360 days). The interest rate is equal to RBC's Base Lending Rate plus a sliding scale of percentages according to the actual prepay amount.

\*\*For additional Services not listed above, please contact Kalos Operations for Fee Amounts\*\*

<b>Termination Fees</b>	<b>Full Termination Fee - IRA</b>	\$120
	<b>Asset Sponsor ALT Re-Registration Fee</b>	Fees may be charged by Asset Sponsor
<b>Additional Services</b>	<b>Transfer on Death</b>	
	<b>Transfer on Death Account Change Fee</b>	\$30
	<b>Transfer on Death Account Distribution Fee</b>	0.10%
	<b>Transfer on Death Account Set up Fee</b>	\$50
	<b>Dividends &amp; Reorgs</b>	
	<b>Dividends &amp; Reorgs – Coupons Received for Collection (per shell or issue)</b>	\$10
	<b>Dividends &amp; Reorgs – Charge for Late Instructions on Expiring Items</b>	\$15
	<b>Voluntary Non-Physical Reorg</b>	\$40
	<b>Voluntary Physical Reorg</b>	\$45
	<b>Investment Access (Checkwriting/Debit Card)</b>	
	**Please contact Kalos Operations for Fees related to Checkwriting and Debit Card Features	
	<b>Retirement Plans (403b, Self-Trusted Plans, 401k)</b>	
	**Please contact Kalos Operations for Fees related to 403b, Self-Trusted Plans, and 401k Accounts	

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