



Kalos Capital, Inc  
Member NASD/SIPC

11525 Park Woods Circle  
Alpharetta, Georgia 30005

Phone: 678.356.1100

Fax: 678.356.1105

ClientServices@KalosFinancial.com

www.KalosFinancial.com

## Kalos Capital, Inc. Business Continuity Plan Summary Notice

Pursuant to FINRA and SEC regulation and in its own best interest, Kalos Capital, Inc. has a “Business Continuity Plan” (“BCP”) for the possibility of future “significant business disruptions” (“SBD”) ranging in severity from Firm only to regional. Kalos recovery time from an SBD will depend on the severity of the event, with estimated disruption of service ranging from three to twenty-four hours.

Kalos has functional offsite abilities providing an alternative which can reasonably operate under an SBD. Kalos electronic records, as well as those of its clearing firms are backed up on a weekly basis and stored electronically in two separate locations. In the event of a catastrophic failure to include a citywide or regional disruption, Kalos will continue business operations from its offsite locations. Clients will continue to contact us via telephone at (678) 356-1100 or our clearing firms at the telephone numbers below. Alternatively, clients may access us at [www.KalosFinancial.com](http://www.KalosFinancial.com) or our clearing firms at the websites listed below. If you have any questions regarding this summary, please call the Kalos Home Office at (678) 356-1100.

**National Financial Services, Inc.**

**(877) 877-2410**

[www.nationalfinancial.com](http://www.nationalfinancial.com)

**Equity Trust Company (COR Clearing LLC)**

**(877) 819-8918**

[www.myequity.com](http://www.myequity.com)

**RBC Correspondent Services**

**(612) 371-7830**

[www.rbccm.com](http://www.rbccm.com)

**TD Ameritrade**

**(800) 454-9272**

[www.tdameritrade.com](http://www.tdameritrade.com)